

# Modal Logic

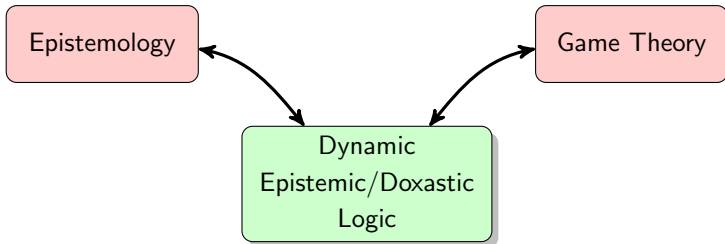
## Epistemic Logic

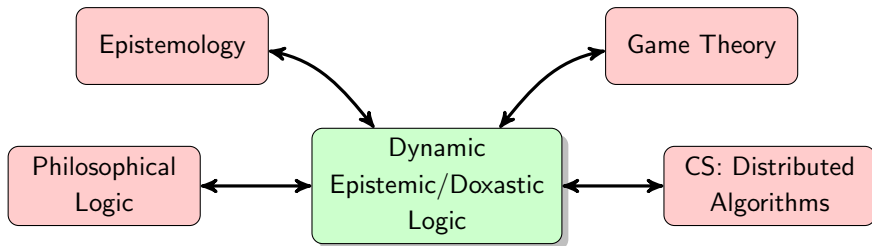
Eric Pacuit

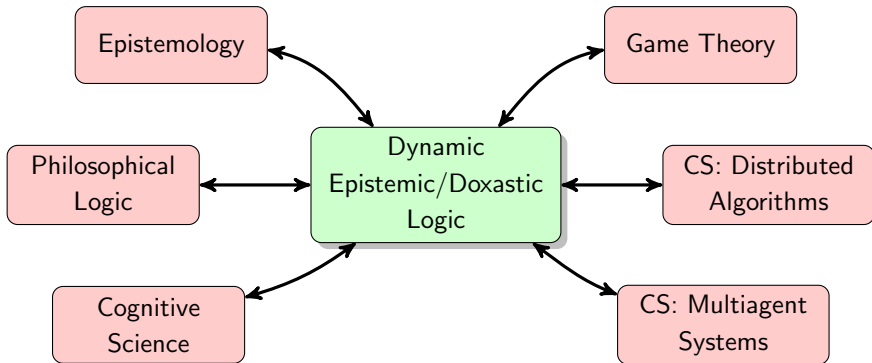
University of Maryland, College Park  
`ai.stanford.edu/~epacuit`

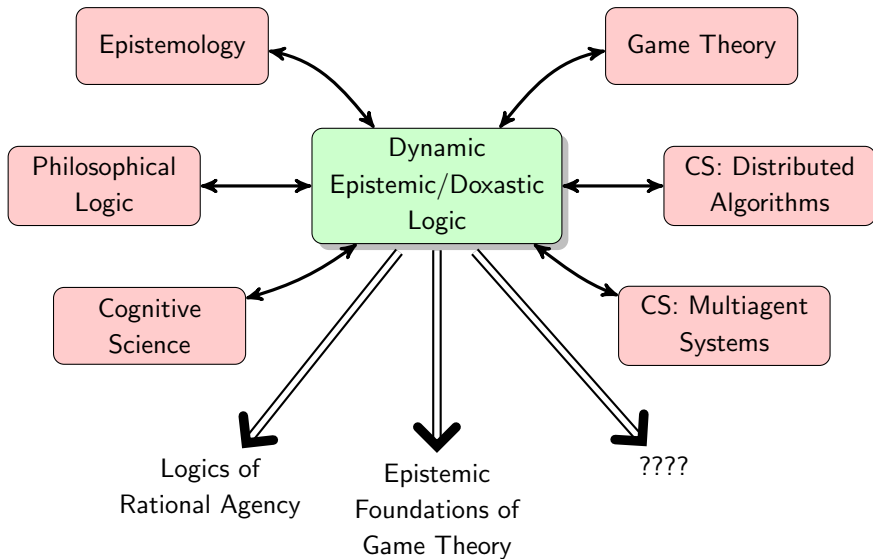
April 19, 2012

Dynamic  
Epistemic/Doxastic  
Logic









# Foundations of Epistemic Logic



David Lewis



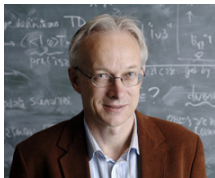
Jakko Hintikka



Robert Aumann



Larry Moss

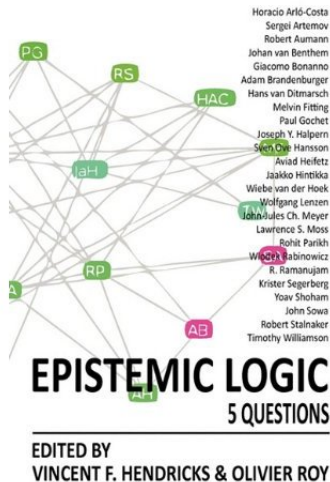


Johan van Benthem



Alexandru Baltag

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Automatic Press • 



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1, 2 and 3.

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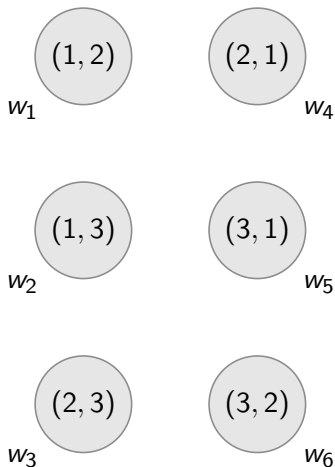


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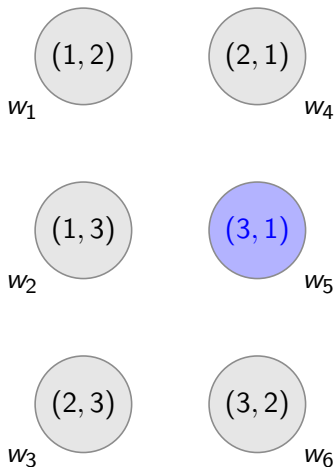


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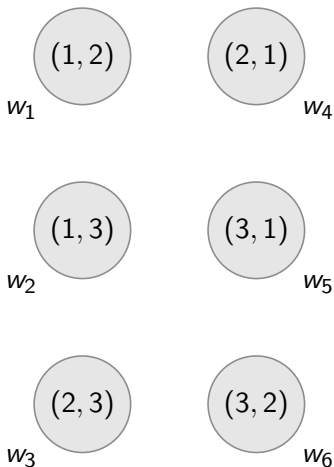


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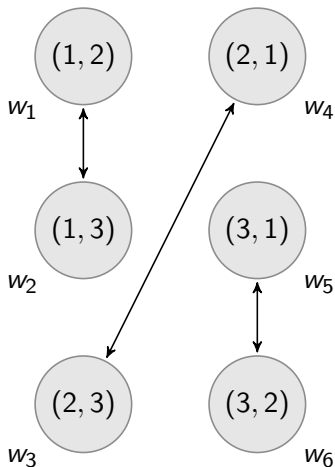


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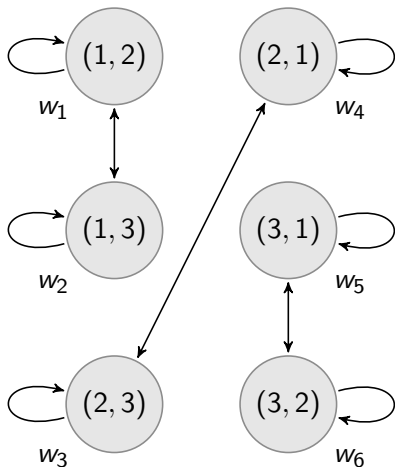


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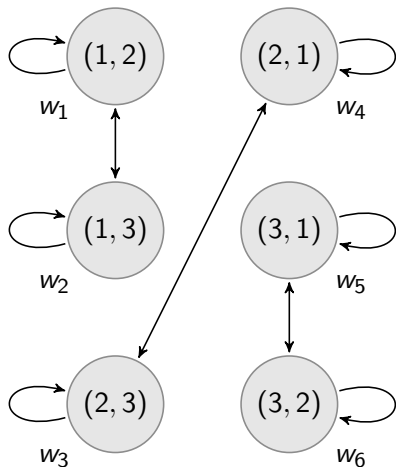
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Eg.,  $V(H_1) = \{w_1, w_2\}$



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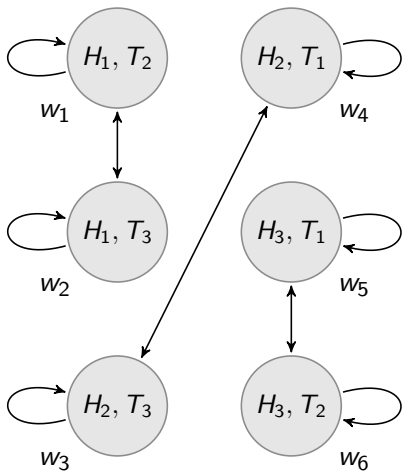
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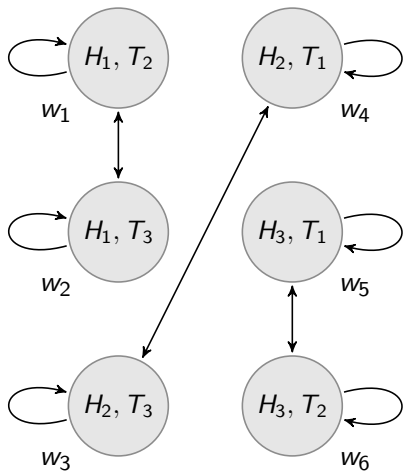
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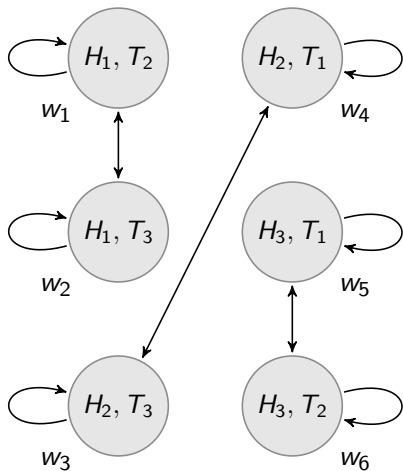


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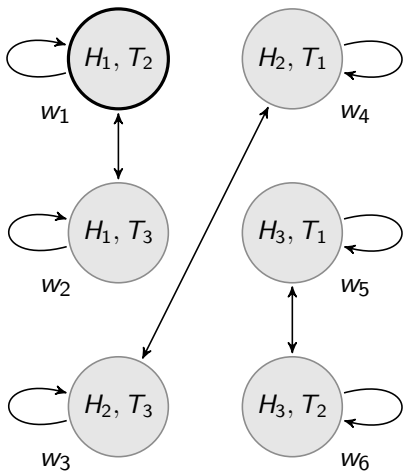


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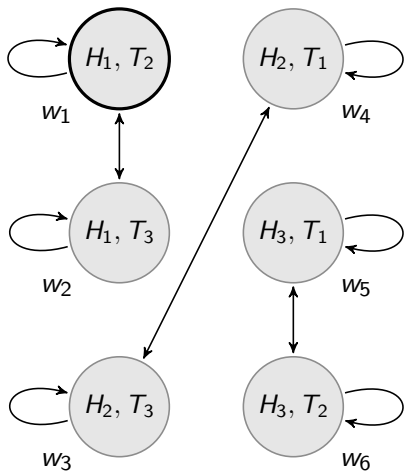


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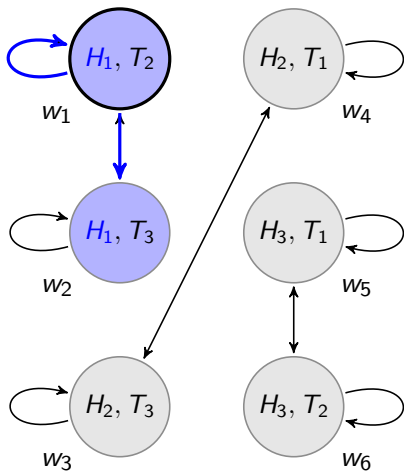


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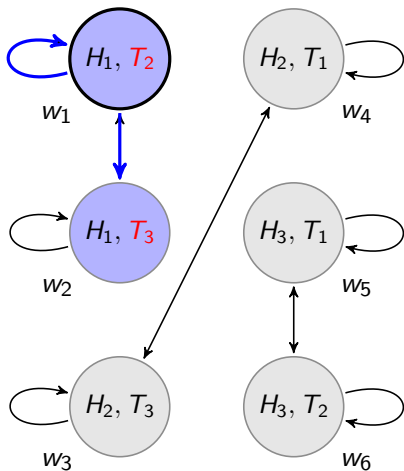
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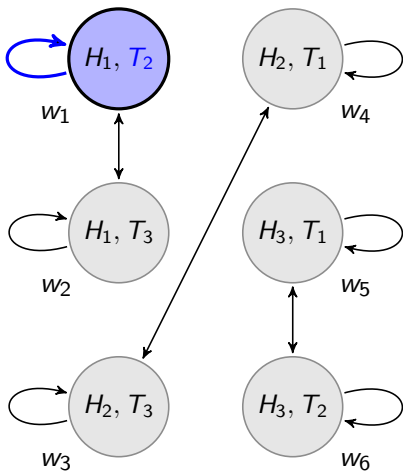


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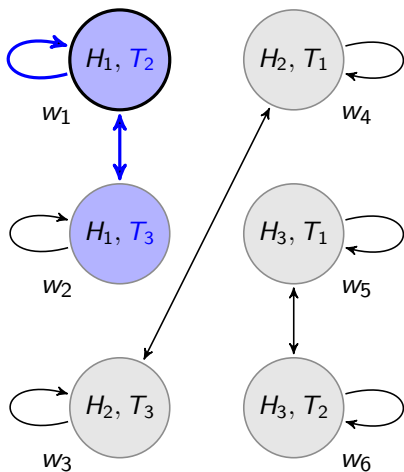


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$$\mathcal{M}, w_1 \models K(T_2 \vee T_3)$$



## Multiagent Epistemic Logic

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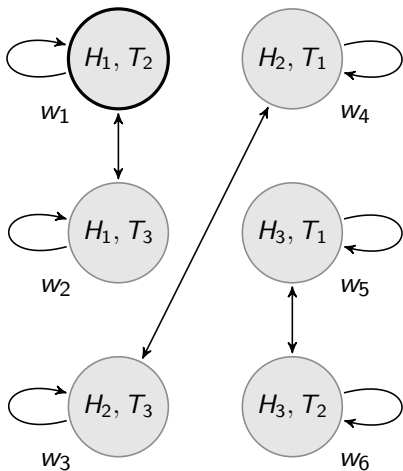
- ▶  $K_A K_B \varphi$ : “Ann knows that Bob knows  $\varphi$ ”
- ▶  $K_A (K_B \varphi \vee K_B \neg \varphi)$ : “Ann knows that Bob knows whether  $\varphi$ ”
- ▶  $\neg K_B K_A K_B (\varphi)$ : “Bob does not know that Ann knows that Bob knows that  $\varphi$ ”

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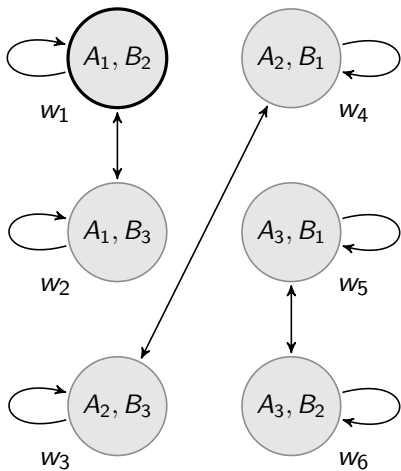


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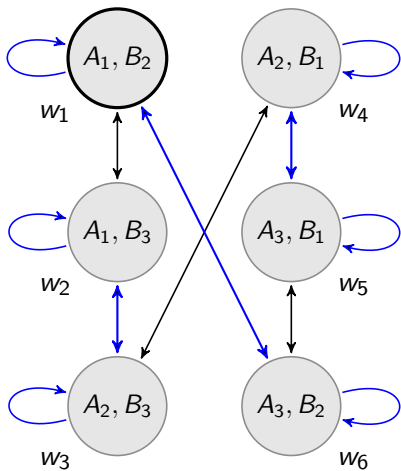


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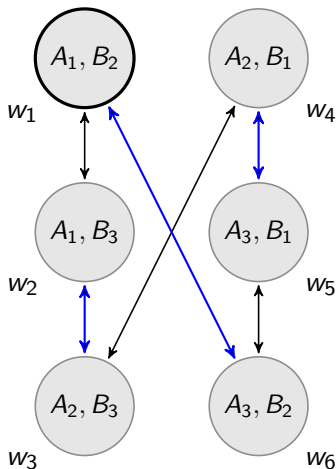


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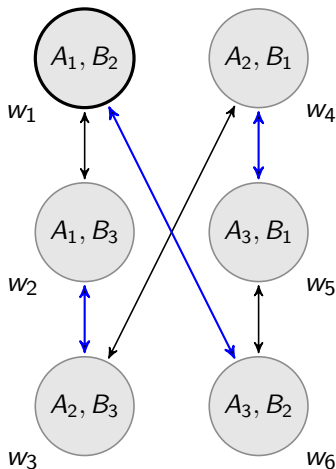
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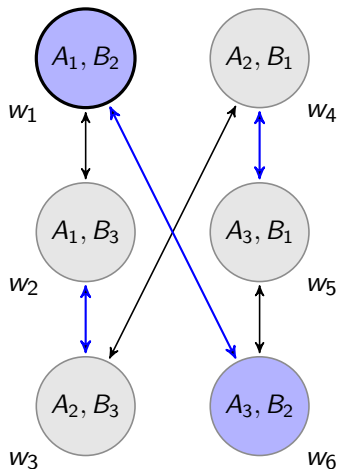
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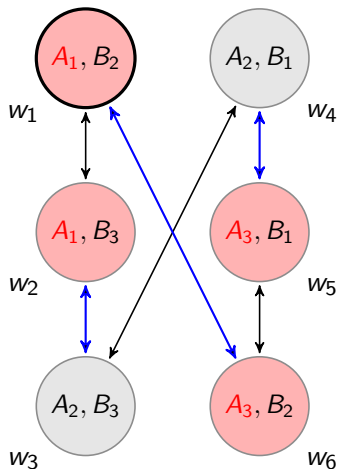
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## Single-Agent Epistemic Logic: The Language

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- ▶  $p \in \text{At}$  is an **atomic fact**.
  - “It is raining”
  - “The talk is at 2PM”
  - “The card on the table is a 7 of Hearts”

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- ▶ The usual definitions for  $\rightarrow, \vee, \leftrightarrow$  apply
- ▶ Define  $L\varphi$  as  $\neg K\neg\varphi$

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$L\varphi$ : “ $\varphi$  is an epistemic possibility”

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## Single-Agent Epistemic Logic: Kripke Models

$$\mathcal{M} = \langle W, R, V \rangle$$

- ▶  $W \neq \emptyset$  is the set of all relevant *scenarios* (states of affairs, possible worlds)
- ▶  $R \subseteq W \times W$  is the **epistemic accessibility relation**:  
 $wRv$  provided “state  $v$  is epistemically accessible for the agent from state  $w$ ”
- ▶  $V : \text{At} \rightarrow \wp(W)$  is a valuation function assigning atomic sentences to states

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$\mathcal{M}, w \models K_i \varphi$ :

- ▶  $wR_i v$  if “everything  $i$  knows in state  $w$  is true in  $v$ ”
- ▶  $wR_i v$  if “agent  $i$  has the same experiences and memories in both  $w$  and  $v$ ”
- ▶  $wR_i v$  if “agent  $i$  has cannot *rule-out*  $v$  (given her evidence and observations)”
- ▶  $wR_i v$  if “agent  $i$  is in the same *local state* in  $w$  and  $v$ ”
- ▶  $wR_i v$  if “agent  $i$  has the same *information* in  $w$  and  $v$ ”

# Logical Omniscience

**Fact:**  $\varphi$  is valid then  $K\varphi$  is valid

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**Fact:**  $K\varphi \wedge K\psi \rightarrow K(\varphi \wedge \psi)$  is valid on all Kripke frames



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**Fact:**  $K(\varphi \rightarrow \psi) \rightarrow (K\varphi \rightarrow K\psi)$  is valid on all Kripke frames.

# Logical Omniscience

**Fact:**  $\varphi \leftrightarrow \psi$  is valid then  $K\varphi \leftrightarrow K\psi$  is valid

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Modal Formula

Property

Philosophical Assumption

---

Modal Formula	Property	Philosophical Assumption
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$K(\varphi \rightarrow \psi) \rightarrow (K\varphi \rightarrow K\psi)$ $K\varphi \rightarrow \varphi$	<p>—</p> Reflexive	Logical Omniscience Truth

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Modal Formula	Property	Philosophical Assumption
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$\neg K\varphi \rightarrow K\neg K\varphi$	Euclidean	Negative Introspection



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- ▶ The agent may believe  $\varphi$  and ruled-out the  $\neg\varphi$ -worlds, but this was based on “bad” **evidence**, or was not **justified**, or the agent was “**epistemically lucky**” (eg., Gettier cases),...

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Why would an agent not know some fact  $\varphi$ ? (i.e., why would  $\neg K_i \varphi$  be true?)

- ▶ The agent may or may not believe  $\varphi$ , but has not **ruled out** all the  $\neg\varphi$ -worlds
- ▶ The agent may believe  $\varphi$  and ruled-out the  $\neg\varphi$ -worlds, but this was based on “bad” **evidence**, or was not **justified**, or the agent was “**epistemically lucky**” (eg., Gettier cases),...
- ▶ The agent has not yet entertained possibilities relevant to the truth of  $\varphi$  (the agent is **unaware** of  $\varphi$ ).

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Can we model unawareness in state-space models?

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E. Dekel, B. Lipman and A. Rustichini. *Standard State-Space Models Preclude Unawareness*. *Econometrica*, 55:1, pp. 159 - 173 (1998).

## Sherlock Holmes

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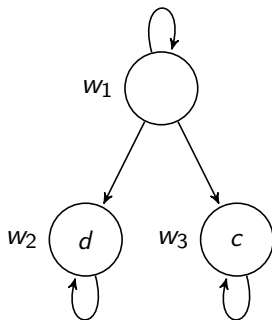
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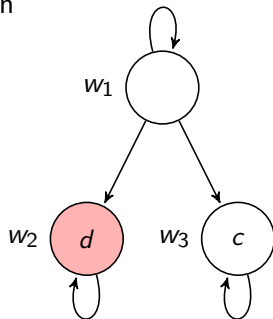
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## Modeling Watson's Unawareness



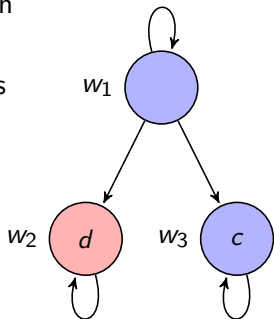
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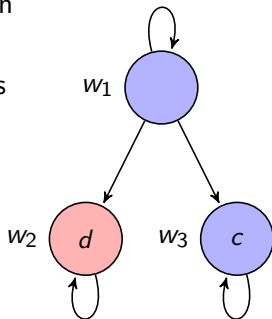
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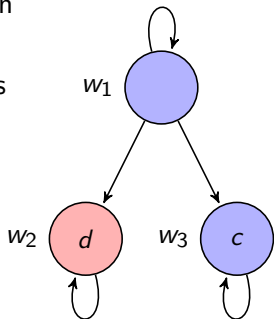
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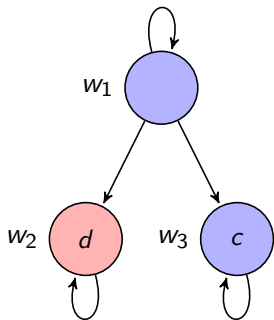
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- ▶  $\neg K(E) \cap \neg K(\neg K(E)) = \{w_1\}$  and, in fact,  $\bigcap_{i=1}^{\infty} (\neg K)^i(E) = \{w_1\}$





## Modeling Watson's Unawareness

- ▶  $E = \{w_2\}$
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- ▶  $K(-K(E)) = \{w_3\}$ ,  
 $-K(-K(E)) = \{w_1, w_2\}$
- ▶  $-K(E) \cap -K(-K(E)) = \{w_1\}$ ,  
 $\bigcap_{i=1}^{\infty} (-K)^i(E) = \{w_1\}$

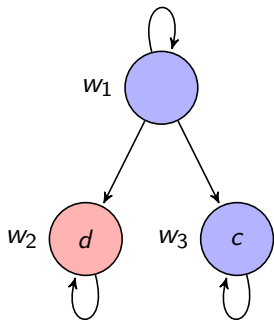


Let  $U(F) = \bigcap_{i=1}^{\infty} (-K)^i(F)$ . Then,

- ▶  $U(\emptyset) = U(W) = U(\{w_1\}) = U(\{w_2, w_3\}) = \emptyset$
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Then,  $U(E) = \{w_1\}$  and  $U(U(E)) = U(\{w_1\}) = \emptyset$

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**Theorem.** In any logic where  $U$  satisfies the above axiom schemes, we have

1. If  $K$  satisfies Necessitation (from  $\varphi$  infer  $K\varphi$ ), then for all formulas  $\varphi$ ,  $\neg U\varphi$  is derivable (the agent is aware of everything); and
2. If  $K$  satisfies Monotonicity (from  $\varphi \rightarrow \psi$  infer  $K\varphi \rightarrow K\psi$ ), then for all  $\varphi$  and  $\psi$ ,  $U\varphi \rightarrow \neg K\psi$  is derivable (if the agent is unaware of something then the agent does not know anything).

B. Schipper. *Online Bibliography on Models of Unawareness*. <http://www.econ.ucdavis.edu/faculty/schipper/unaw.htm>.

J. Halpern. *Alternative semantics for unawareness*. *Games and Economic Behavior*, 37, 321-339, 2001.

# Multi-agent Epistemic Logic

**The Language:**  $\varphi := p \mid \neg\varphi \mid \varphi \wedge \psi \mid K\varphi$

**Kripke Models:**  $\mathcal{M} = \langle W, R, V \rangle$  and  $w \in W$

**Truth:**  $\mathcal{M}, w \models \varphi$  is defined as follows:

- ▶  $\mathcal{M}, w \models p$  iff  $w \in V(p)$  (with  $p \in \text{At}$ )
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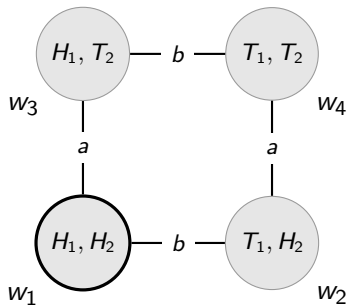
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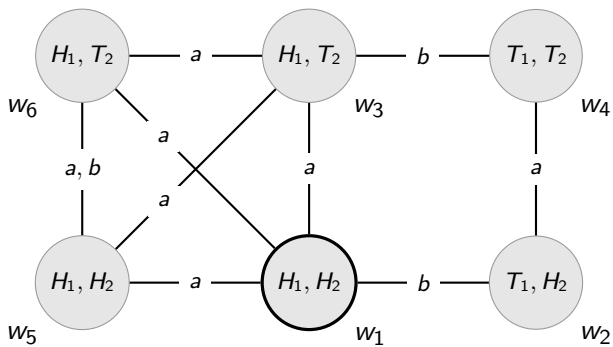
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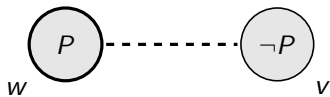
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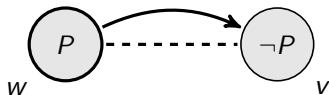
- ▶  $K_a K_b \varphi$ : “Ann knows that Bob knows  $\varphi$ ”
- ▶  $K_a (K_b \varphi \vee K_b \neg \varphi)$ : “Ann knows that Bob knows whether  $\varphi$ ”
- ▶  $\neg K_b K_a K_b (\varphi)$ : “Bob does not know that Ann knows that Bob knows that  $\varphi$ ”



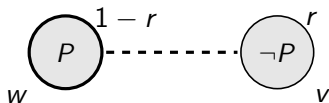




Ann does not know that  $P$



Ann does not know that  $P$ , but she believes that  $\neg P$



Ann does not **know** that  $P$ , but she **believes** that  $\neg P$  is true to degree  $r$ .

## Combining Logics of Knowledge and Belief

$\mathcal{M} = \langle W, \{\sim_i\}_{i \in \mathcal{A}}, \{R_i\}_{i \in \mathcal{A}}, V \rangle$  where

- ▶  $W \neq \emptyset$  is a set of states;
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- ▶ So,  $BKp \wedge B\neg Kp$  also holds, but this contradicts  $B\varphi \rightarrow \neg B\neg\varphi$ .

J. Halpern. *Should Knowledge Entail Belief?*. Journal of Philosophical Logic, 25:5, 1996, pp. 483-494.

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**Assumptions:**

1. *plausibility implies possibility:* if  $w \preceq_i v$  then  $w \sim_i v$ .
2. *locally-connected:* if  $w \sim_i v$  then either  $w \preceq_i v$  or  $v \preceq_i w$ .

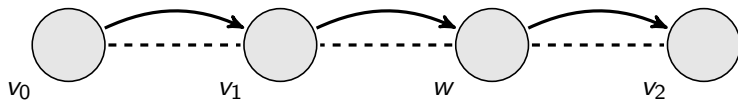
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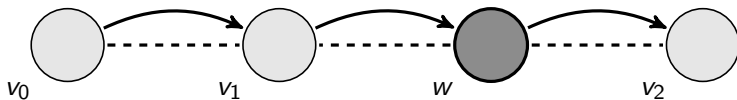
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 $[w]_i = \{v \mid w \sim_i v\}$  is the agent's **information cell**.

## Grades of Doxastic Strength

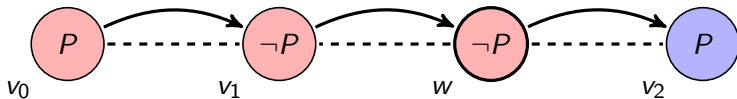


## Grades of Doxastic Strength



Suppose that  $w$  is the current state.

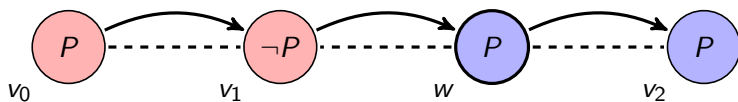
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## Grades of Doxastic Strength



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## Grades of Doxastic Strength

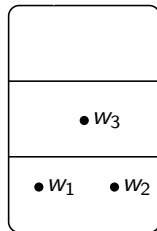


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- ▶ **Belief** ( $BP$ )
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- ▶ **Strong Belief** ( $B^s P$ )
- ▶ **Knowledge** ( $KP$ )

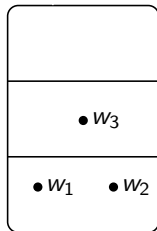
## Conditional Beliefs

▶  $w_1 \sim w_2 \sim w_3$



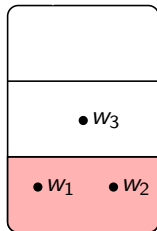
## Conditional Beliefs

- ▶  $w_1 \sim w_2 \sim w_3$
- ▶  $w_1 \preceq w_2$  and  $w_2 \preceq w_1$  ( $w_1$  and  $w_2$  are equi-plausibile)
- ▶  $w_1 \prec w_3$  ( $w_1 \preceq w_3$  and  $w_3 \not\preceq w_1$ )
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- ▶  $\{w_1, w_2\} \subseteq \text{Min}_{\preceq}(\{w_i\})$

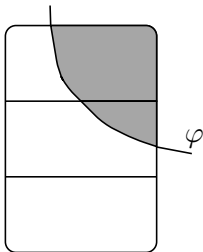


## Conditional Beliefs



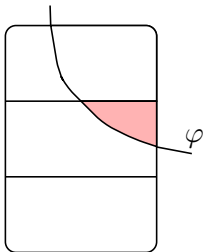
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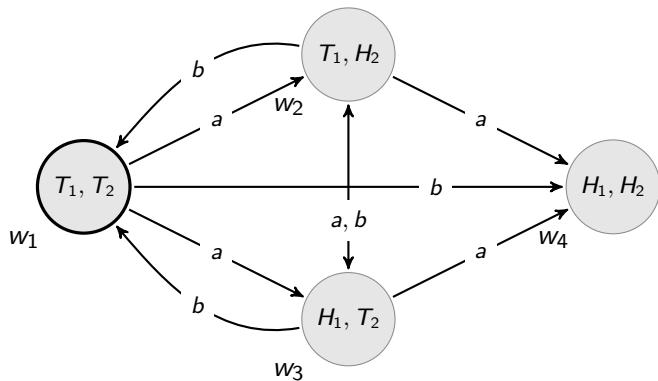


$B_i^\varphi \psi$ : Agent  $i$  believes  $\psi$ , *given that  $\varphi$  is true.*

$\mathcal{M}, w \models B_i^\varphi \psi$  if for each  $v \in \text{Min}_{\preceq_i}([w]_i \cap \llbracket \varphi \rrbracket)$ ,  $\mathcal{M}, v \models \psi$   
where  $\llbracket \varphi \rrbracket = \{w \mid \mathcal{M}, w \models \varphi\}$



## Example



*Success:*

$$B_i^\varphi \varphi$$

*Knowledge entails belief*

$$K_i \varphi \rightarrow B_i^\psi \varphi$$

*Full introspection:*

$$B_i^\varphi \psi \rightarrow K_i B_i^\varphi \psi \quad \text{and} \quad \neg B_i^\varphi \psi \rightarrow K_i \neg B_i^\varphi \psi$$

*Cautious Monotonicity:*

$$(B_i^\varphi \alpha \wedge B_i^\varphi \beta) \rightarrow B_i^{\varphi \wedge \beta} \alpha$$

*Rational Monotonicity:*

$$(B_i^\varphi \alpha \wedge \neg B_i^\varphi \neg \beta) \rightarrow B_i^{\varphi \wedge \beta} \alpha$$

## Rational Monotonicity, I

**Rational Monotonicity:**  $(B_i^\varphi \alpha \wedge \neg B_i^\varphi \neg \beta) \rightarrow B_i^{\varphi \wedge \beta} \alpha$

R. Stalnaker. *Nonmonotonic consequence relations*. *Fundamenta Informaticae*, 21: 721, 1994.

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Consider the three composers: Verdi, Bizet, and Satie, and suppose that we initially accept (correctly but defeasibly) that Verdi is Italian  $I(v)$ , while Bizet and Satie are French  $(F(b) \wedge F(s))$ .

## Rational Monotonicity, II

Suppose now that we are told by a reliable (but not infallible!) source of information that Verdi and Bizet are compatriots ( $C(v, b)$ ). This leads us no longer to endorse either the proposition that Verdi is Italian (because he could be French), or that Bizet is French (because he could be Italian); but we would still draw the defeasible consequence that Satie is French, since nothing that we have learned conflicts with it.

$$B^{C(v,b)}F(s)$$

## Rational Monotonicity, III

Now consider the proposition  $C(v, s)$  that Verdi and Satie are compatriots. Before learning that  $C(v, b)$  we would be inclined to reject the proposition  $C(v, s)$  because we accept  $I(v)$  and  $F(s)$ , but after learning that Verdi and Bizet are compatriots, we can no longer endorse  $I(v)$ , and therefore no longer reject  $C(v, s)$ .

$$\neg B^{C(v,b)} \neg C(v,s)$$

## Rational Monotonicity, IV

However, if we added  $C(v, s)$  to our stock of beliefs, we would lose the inference to  $F(s)$ : in the context of  $C(v, b)$ , the proposition  $C(v, s)$  is equivalent to the statement that all three composers have the same nationality. This leads us to suspend our belief in the proposition  $F(s)$ .

$$\neg B^{C(v,b) \wedge C(v,s)} F(s)$$

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$$\neg B^{C(v,b) \wedge C(v,s)} F(s)$$

$$B^{C(v,b)} F(s) \text{ and } \neg B^{C(v,b)} \neg C(v, s) \text{ but } \neg B^{C(v,b) \wedge C(v,s)} F(s)$$



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Next: Common Knowledge