Modal Logic Dynamic Epistemic Logic

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Modeling Information Change



Epistemic Model: $\mathcal{M} = \langle W, \{\sim_i\}_{i \in \mathcal{A}}, V \rangle$

• $w \sim_i v$ means *i* cannot rule out *v* according to her information.

Language: $\varphi := p \mid \neg \varphi \mid \varphi \land \psi \mid K_i \varphi$

Truth:

- $\mathcal{M}, w \models p$ iff $w \in V(p)$ (p an atomic proposition)
- Boolean connectives as usual

•
$$\mathcal{M}, w \models K_i \varphi$$
 iff for all $v \in W$, if $w \sim_i v$ then $\mathcal{M}, v \models \varphi$



Epistemic-Plausibility Model: $\mathcal{M} = \langle W, \{\sim_i\}_{i \in \mathcal{A}}, \{\preceq_i\}_{i \in \mathcal{A}}, V \rangle$ $\blacktriangleright w \preceq_i v$ means v is at least as plausibility as w for agent i.

Language: $\varphi := p \mid \neg \varphi \mid \varphi \land \psi \mid K_i \varphi \mid B^{\varphi} \psi \mid [\preceq_i] \varphi$

Truth:



Epistemic-Plausibility Model: $\mathcal{M} = \langle W, \{\sim_i\}_{i \in \mathcal{A}}, \{\pi_i\}_{i \in \mathcal{A}}, V \rangle$ $\blacktriangleright \pi_i : W \rightarrow [0, 1]$ is a probability measure

Language: $\varphi := p \mid \neg \varphi \mid \varphi \land \psi \mid K_i \varphi \mid B^p \psi$

Truth:

$$\begin{split} & \llbracket \varphi \rrbracket_{\mathcal{M}} = \{ w \mid \mathcal{M}, w \models \varphi \} \\ & \triangleright \quad \mathcal{M}, w \models B^{p} \varphi \text{ iff } \pi_{i}(\llbracket \varphi \rrbracket_{\mathcal{M}} \mid [w]_{i}) = \frac{\pi_{i}(\llbracket \varphi \rrbracket_{\mathcal{M}} \cap [w]_{i})}{\pi_{i}(\llbracket w]_{i})} \geq p \text{ , } \mathcal{M}, v \models \psi \\ & \triangleright \quad \mathcal{M}, w \models K_{i} \varphi \text{ iff for all } v \in W, \text{ if } w \sim_{i} v \text{ then } \mathcal{M}, v \models \varphi \end{split}$$

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Finding out that *p* is true





Modeling Information Change: Two Methodologies

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There is a very simple procedure to solve Ann's problem: *have a* (*trusted*) friend tell Bob the time and subject of her talk.

Is this procedure correct?

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There is a very simple procedure to solve Ann's problem: *have a* (*trusted*) friend tell Bob the time and subject of her talk.

Is this procedure correct? Yes, if

- 1. Ann knows about the talk.
- 2. Bob knows about the talk.
- 3. Ann knows that Bob knows about the talk.
- 4. Bob *does not* know that Ann knows that he knows about the talk.
- 5. And nothing else.



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 $\mathcal{M}, s \models K_A P \land \neg K_B P$



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 $\mathcal{M}, s \models \mathbf{K}_{A}\mathbf{P} \land \neg \mathbf{K}_{B}\mathbf{P}$





Prior Model

Posterior Model

Consider the following beliefs of a rational agent:

- p_1 All Europeans swans are white.
- p_2 The bird caught in the trap is a swan.
- p_3 The bird caught in the trap comes from Sweden.
- p_4 Sweden is part of Europe.

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Now suppose the rational agent—for example, You—learn that the bird caught in the trap is black $(\neg q)$.

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Question: How should the agent incorporate $\neg q$ into his belief state to obtain a consistent belief state?

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Problem: Logical considerations alone are insufficient to answer this question! Why??

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There are several logically distinct ways to incorporate $\neg q!$

What extralogical factors serve to determine what beliefs to give up and what beliefs to retain?

Belief revision is a matter of choice, and the choices are to be made in such a way that:

- 1. The resulting theory squares with the experience;
- 2. It is simple; and
- 3. The choices disturb the original theory as little as possible.

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Research has relied on the following related guiding ideas:

- 1. When accepting a new piece of information, an agent should aim at a minimal change of his old beliefs.
- 2. If there are different ways to effect a belief change, the agent should give up those beliefs which are least entrenched.

Digression: Belief Revision

A.P. Pedersen and H. Arló-Costa. "Belief Revision.". In Continuum Companion to Philosophical Logic. Continuum Press, 2011..

Hans Rott. Change, Choice and Inference: A Study of Belief Revision and Nonmonotonic Reasoning. Oxford University Press, 2001.

Digression: AGM Postulates

AGM 1: $K * \varphi$ is deductively closed

AGM 2: $\varphi \in K * \varphi$

AGM 3: $K * \varphi \subseteq Cn(K \cup \{\varphi\})$

AGM 4: If $\neg \varphi \notin K$ then $K * \varphi = Cn(K \cup \{\varphi\})$

AGM 5: $K * \varphi$ is inconsistent only if φ is inconsistent

AGM 6: If φ and ψ are logically equivalent then ${\it K}*\varphi={\it K}*\psi$

$$\mathsf{AGM} \,\, 7: \,\, \mathsf{K} \ast (\varphi \land \psi) \subseteq \mathsf{Cn}(\mathsf{K} \ast \varphi \cup \{\psi\})$$

AGM 8 if $\neg \psi \notin K * \varphi$ then $Cn(K * \varphi \cup \{\psi\}) \subseteq K * (\varphi \land \psi)$

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Complete vs. incomplete belief sets:

$$K = Cn(\{p \lor q\}) \text{ vs. } K = Cn(\{p \lor q, p, q\})$$
Digression: Revision vs. Update

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Revising by $\neg p \ (K * \neg p)$ vs. Updating by $\neg p \ (K \diamond \neg p)$

H. Katsuno and A. O. Mendelzon. *Propositional knowledge base revision and minimal change*. Artificial Intelligence, 52, pp. 263 - 294 (1991).

1. The agents' observational powers.

Agents may perceive the same event differently and this can be described in terms of what agents do or do not observe. Examples range from *public announcements* where everyone witnesses the same event to private communications between two or more agents with the other agents not even being aware that an event took place.

- 1. The agents' observational powers.
- 2. The *type* of change triggered by the event.

Agents may differ in precisely how they incorporate new information into their epistemic states. These differences are based, in part, on the agents' perception of the *source* of the information. For example, an agent may consider a particular source of information *infallible* (not allowing for the possibility that the source is mistaken) or merely *trustworthy* (accepting the information as reliable though allowing for the possibility of a mistake).

- 1. The agents' *observational* powers.
- 2. The *type* of change triggered by the event.
- 3. The underlying *protocol* specifying which events (observations, messages, actions) are available (or permitted) at any given moment.

This is intended to represent the rules or conventions that govern many of our social interactions. For example, in a conversation, it is typically not polite to "blurt everything out at the beginning", as we must speak in small chunks. Other natural conversational protocol rules include "do not repeat yourself", "let others speak in turn", and "be honest". Imposing such rules *restricts* the legitimate sequences of possible statements or events.

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What happens if Ann publicly announces P?



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What happens if Ann publicly announces P? $s \models CP$

J. Plaza. Logics of Public Communications. 1989.

J. Gerbrandy. Bisimulations on Planet Kripke. 1999.

J. van Benthem. One is a lonely number. 2002.

The Public Announcement Language is generated by the following grammar:

$$p \mid \neg \varphi \mid \varphi \land \varphi \mid K_i \varphi \mid C \varphi \mid [\psi] \varphi$$

where $p \in At$ and $i \in A$.

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• $[\psi]\varphi$ is intended to mean "After publicly announcing ψ , φ is true".

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▶ [P]K_iP: "After publicly announcing P, agent i knows P"

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► [¬K_iP]CP: "After announcing that agent *i* does not know P, then P is common knowledge"

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where $p \in At$ and $i \in A$.

► [¬K_iP]K_iP: "after announcing i does not know P, then i knows P. "

Suppose $\mathcal{M} = \langle W, \{\sim_i\}_{i \in \mathcal{A}}, \{\preceq_i\}_{i \in \mathcal{A}}, V \rangle$ is a multi-agent Kripke Model

$$\mathcal{M}, w \models [\psi] \varphi \text{ iff } \mathcal{M}, w \models \psi \text{ implies } \mathcal{M}|_{\psi}, w \models \varphi$$

where $\mathcal{M}|_{\psi} = \langle W', \{\sim'_i\}_{i \in \mathcal{A}}, \{\preceq'_i\}_{i \in \mathcal{A}}, V' \rangle$ with

$$\blacktriangleright W' = W \cap \{w \mid \mathcal{M}, w \models \psi\}$$

- For each *i*, $\sim'_i = \sim_i \cap (W' \times W')$
- ▶ For each $i, \preceq'_i = \preceq_i \cap (W' \times W')$

▶ for all
$$p \in At$$
, $V'(p) = V(p) \cap W'$

 $[\psi] p \quad \leftrightarrow \quad (\psi \rightarrow p)$

$$\begin{array}{ll} [\psi] p & \leftrightarrow & (\psi \to p) \\ [\psi] \neg \varphi & \leftrightarrow & (\psi \to \neg [\psi] \varphi) \end{array} \end{array}$$

$$\begin{array}{rcl} [\psi] \rho & \leftrightarrow & (\psi \to \rho) \\ [\psi] \neg \varphi & \leftrightarrow & (\psi \to \neg [\psi] \varphi) \\ [\psi] (\varphi \land \chi) & \leftrightarrow & ([\psi] \varphi \land [\psi] \chi) \end{array}$$

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$$\begin{array}{rcl} [\psi] p & \leftrightarrow & (\psi \to p) \\ [\psi] \neg \varphi & \leftrightarrow & (\psi \to \neg [\psi] \varphi) \\ [\psi] (\varphi \land \chi) & \leftrightarrow & ([\psi] \varphi \land [\psi] \chi) \\ [\psi] K_i \varphi & \leftrightarrow & (\psi \to K_i (\psi \to [\psi] \varphi)) \end{array}$$

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Theorem Every formula of Public Announcement Logic is equivalent to a formula of Epistemic Logic.

$$\begin{array}{rcl} [\psi] p & \leftrightarrow & (\psi \to p) \\ [\psi] \neg \varphi & \leftrightarrow & (\psi \to \neg [\psi] \varphi) \\ [\psi] (\varphi \land \chi) & \leftrightarrow & ([\psi] \varphi \land [\psi] \chi) \\ [\psi] K_i \varphi & \leftrightarrow & (\psi \to K_i (\psi \to [\psi] \varphi)) \end{array}$$

The situation is more complicated with common knowledge.

J. van Benthem, J. van Eijk, B. Kooi. *Logics of Communication and Change*. 2006.



▶ [q]Kq

• $Kp \rightarrow [q]Kp$

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$\blacktriangleright \ B\varphi \to [\psi] B\varphi$

- ▶ [q]Kq
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• $w_1 \models B_1 B_2 q$



•
$$w_1 \models B_1 B_2 q$$

• $w_1 \models B_1^p B_2 q$



•
$$w_1 \models B_1 B_2 q$$

•
$$w_1 \models B_1^p B_2 q$$

•
$$w_1 \models [p] \neg B_1 B_2 q$$



- $w_1 \models B_1 B_2 q$
- $w_1 \models B_1^p B_2 q$
- $w_1 \models [p] \neg B_1 B_2 q$
- More generally, B^p_i(p ∧ ¬K_ip) is satisfiable but [p]B_i(p ∧ ¬K_ip) is not.

The Logic of Public Observation

$$\blacktriangleright \ [!\psi] \mathsf{K}\varphi \leftrightarrow (\psi \to \mathsf{K}(\psi \to [!\psi]\varphi))$$
$$\blacktriangleright \ [!\psi] K\varphi \leftrightarrow (\psi \to K(\psi \to [!\psi]\varphi))$$

$$\blacktriangleright \ [\varphi][\preceq_i]\psi \leftrightarrow (\varphi \to [\preceq_i](\varphi \to [\varphi]\psi))$$

$$\blacktriangleright \ [!\psi] \mathsf{K} \varphi \leftrightarrow (\psi \to \mathsf{K}(\psi \to [!\psi]\varphi))$$

$$\blacktriangleright \ [\varphi][\preceq_i]\psi \leftrightarrow (\varphi \to [\preceq_i](\varphi \to [\varphi]\psi))$$

▶ Belief:
$$[!\psi]B\varphi \not\leftrightarrow (\psi \rightarrow B(\psi \rightarrow [!\psi]\varphi))$$

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► Belief: $[!\psi]B\varphi \nleftrightarrow (\psi \to B(\psi \to [!\psi]\varphi))$ $[\varphi]B\psi \leftrightarrow (\varphi \to B^{\varphi}[\varphi]\psi)$

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▶ Belief:
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$$\begin{aligned} [\varphi] B \psi &\leftrightarrow (\varphi \to B^{\varphi}[\varphi] \psi) \\ [\varphi] B^{\alpha} \psi &\leftrightarrow (\varphi \to B^{\varphi \land [\varphi] \alpha}[\varphi] \psi) \end{aligned}$$

Common Knowledge: [!p]Cp, what is the reduction axiom for 'C'?

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$$\begin{split} [!\psi] C\varphi &\leftrightarrow (\psi \to C^{\psi} [!\psi]\varphi) \\ [!\psi] C^{\alpha}\varphi &\leftrightarrow (\psi \to C^{\psi \land [!\psi]\alpha} [!\psi]\varphi) \end{split}$$

Common Knowledge: [!p]Cp, what is the reduction axiom for 'C'?

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$$egin{aligned} & [!\psi] \mathcal{C} arphi \leftrightarrow (\psi
ightarrow \mathcal{C}^{\psi} [!\psi] arphi) \ & [!\psi] \mathcal{C}^{lpha} arphi \leftrightarrow (\psi
ightarrow \mathcal{C}^{\psi \wedge [!\psi] lpha} [!\psi] arphi) \end{aligned}$$

Make time explicit: [!φ]CYφ: "After finding out that φ, it is common knowledge that φ was true" Agents may differ in precisely how they incorporate new information into their epistemic states. These differences are based, in part, on the agents' perception of the *source* of the information. For example, an agent may consider a particular source of information *infallible* (not allowing for the possibility that the source is mistaken) or merely *trustworthy* (accepting the information as reliable, though allowing for the possibility of a mistake). Hard and Soft Updates





$$\begin{aligned} & \operatorname{Min}_{\leq}([w_1]) = \{w_4\}, \text{ so } w_1 \models B(H_1 \land H_2) \\ & \operatorname{Min}_{\leq}([w_1] \cap \llbracket T_1 \rrbracket_{\mathcal{M}}) = \{w_2\}, \text{ so } w_1 \models B^{T_1} H_2 \\ & \operatorname{Min}_{\leq}([w_1] \cap \llbracket T_1 \rrbracket_{\mathcal{M}}) = \{w_3\}, \text{ so } w_1 \models B^{T_2} H_1 \end{aligned}$$



Suppose the agent finds out that T_1 is/may be true.











Incorporate the new information φ



Public Announcement: Information from an infallible source $(!\varphi): A \prec_i B \qquad \mathcal{M}^{!\varphi} = \langle W^{!\varphi}, \{\sim_i^{!\varphi}\}_{i \in \mathcal{A}}, V^{!\varphi} \rangle$ $W^{!\varphi} = \llbracket \varphi \rrbracket_{\mathcal{M}}$ $\sim_i^{!\varphi} = \sim_i \cap (W^{!\varphi} \times W^{!\varphi})$ $\preceq_i^{!\varphi} = \preceq_i \cap (W^{!\varphi} \times W^{!\varphi})$



Radical Upgrade: ($\Uparrow \varphi$): $A \prec_i B \prec_i C \prec_i D \prec_i E$, $\mathcal{M}^{\Uparrow \varphi} = \langle W, \{\sim_i\}_{i \in \mathcal{A}}, \{\preceq_i^{\Uparrow \varphi}\}_{i \in \mathcal{A}}, V \rangle$

Let $\llbracket \varphi \rrbracket_i^w = \{x \mid \mathcal{M}, x \models \varphi\} \cap \llbracket w \rrbracket_i$

▶ for all
$$x \in \llbracket \varphi \rrbracket_i^w$$
 and $y \in \llbracket \neg \varphi \rrbracket_i^w$, set $x \prec_i^{\Uparrow \varphi} y$,

- ▶ for all $x, y \in \llbracket \varphi \rrbracket_i^w$, set $x \preceq_i^{\Uparrow \varphi} y$ iff $x \preceq_i y$, and
- ▶ for all $x, y \in \llbracket \neg \varphi \rrbracket_i^w$, set $x \preceq_i^{\uparrow \varphi} y$ iff $x \preceq_i y$.



Conservative Upgrade: $(\uparrow \varphi)$: $A \prec_i C \prec_i D \prec_i B \cup E$

Conservative upgrade is radical upgrade with the formula

$$\textit{best}_i(\varphi, w) := \textit{Min}_{\preceq_i}([w]_i \cap \{x \mid \mathcal{M}, x \models \varphi\})$$

1. If
$$v \in best_i(\varphi, w)$$
 then $v \prec_i^{\uparrow \varphi} x$ for all $x \in [w]_i$, and
2. for all $x, y \in [w]_i - best_i(\varphi, w)$, $x \preceq_i^{\uparrow \varphi} y$ iff $x \preceq_i y$.

Reduction Axioms

$$\begin{split} [\Uparrow\varphi] B^{\psi} \chi \leftrightarrow (L(\varphi \land [\Uparrow\varphi] \psi) \land B^{\varphi \land [\Uparrow\varphi] \psi} [\Uparrow\varphi] \chi) \lor \\ (\neg L(\varphi \land [\Uparrow\varphi] \psi) \land B^{[\Uparrow\varphi] \psi} [\Uparrow\varphi] \chi) \end{split}$$

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$[\uparrow \varphi] B^{\psi} \chi \leftrightarrow (B^{\varphi} \neg [\uparrow \varphi] \psi \land B^{[\uparrow \varphi] \psi} [\uparrow \varphi] \chi) \lor (\neg B^{\varphi} \neg [\uparrow \varphi] \psi \land B^{\varphi \land [\uparrow \varphi] \psi} [\uparrow \varphi] \chi)$

What happens as beliefs change over time (iterated belief revision)?

 $\mathcal{M}_0 \xrightarrow{!\varphi_1} \mathcal{M}_1 \xrightarrow{!\varphi_2} \mathcal{M}_2 \xrightarrow{!\varphi_3} \cdots \xrightarrow{!\varphi_n} \mathcal{M}_f$ initial fixed-point model

$$\mathcal{M}_{0} \xrightarrow{\Uparrow \varphi_{1}} \mathcal{M}_{1} \xrightarrow{\Uparrow \varphi_{2}} \mathcal{M}_{2} \xrightarrow{\Uparrow \varphi_{3}} \cdots \xrightarrow{\Uparrow \varphi_{n}} \mathcal{M}_{f}$$
initial model

 $\mathcal{M}_{0} \xrightarrow{!\varphi_{1}} \mathcal{M}_{1} \xrightarrow{\Uparrow\varphi_{2}} \mathcal{M}_{2} \xrightarrow{\Uparrow\varphi_{3}} \cdots \xrightarrow{\Uparrow\varphi_{n}} \mathcal{M}_{f}$ initial fixed-point model

 $\mathcal{M}_0^{\tau(\varphi_1)} \longrightarrow \mathcal{M}_1^{\tau(\varphi_2)} \longrightarrow \mathcal{M}_2^{\tau(\varphi_3)} \longrightarrow \cdots \xrightarrow{\tau(\varphi_n)} \mathcal{M}_f$ initial fixed-point model

Where do the φ_k come from?

Iterated Updates

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!\varphi_1, !\varphi_2, !\varphi_3, \dots, !\varphi_n
always reaches a fixed-point
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 $p \Uparrow p \Uparrow p \end{pmatrix} \cdots$ Contradictory beliefs leads to oscillations

 $\uparrow \varphi, \uparrow \varphi, \ldots$ Simple beliefs may never stabilize

 $\Uparrow \varphi, \Uparrow \varphi, \ldots$ Simple beliefs stabilize, but conditional beliefs do not

A. Baltag and S. Smets. *Group Belief Dynamics under Iterated Revision: Fixed Points and Cycles of Joint Upgrades.* TARK, 2009.



Let φ be $(r \vee (B^{\neg r}q \wedge p) \vee (B^{\neg r}p \wedge q))$



Suppose that you are in the forest and happen to a see strange-looking animal.

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Note that in the last model, \mathcal{M}_3 , the agent does not believe that the bird is red. The problem is that there does not seem to be any justification for why the agent drops her belief that the bird is red. This seems to result from the accidental fact that the agent started by updating with the information that the animal is a bird.
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้บบบ	DDD
UUD	DDU
UDU	DUD
UDD	DUU
	J

Three switches wired such that a light is on iff all three switches are up or all three are down.

UUU	DDD
<mark>U</mark> UD	DDU
UDU	DUD
UDD	DUU
	J

- Three switches wired such that a light is on iff all three switches are up or all three are down.
- Three independent (reliable) observers report on the switches: Alice says switch 1 is U, Bob says switch 2 is D and Carla says switch 3 is U.

UUU	DDD
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- ► Cautious: *UUU*, *DDD*; Bold: *UUU*

UUU	DDD
U UD	DDU
UD U	DUD
UDD	DUU
	J

Suppose there are two switches: L₁ is the main switch and L₂ is a secondary switch controlled by the first two lights. (So L₁ → L₂, but not the converse)

UUU	DDD
<mark>U</mark> UD	DDU
UD U	DUD
UDD	DUU
	J

- Suppose there are two switches: L₁ is the main switch and L₂ is a secondary switch controlled by the first two lights. (So L₁ → L₂, but not the converse)
- Suppose I receive L₁ ∧ L₂, this does not change the story.

UUU	DDD
UUD	DDU
UD U	DUD
UDD	DUU
	J

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- Suppose I receive L₁ ∧ L₂, this does not change the story.
- Suppose I learn that L₂. This is irrelevant to Carla's report, but it means either Ann or Bob is wrong.

UUU	DDD
<mark>U</mark> UD	DDU
UDU	DUD
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	J

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- Now, after learning L₁, the only rational thing to believe is that all three switches are up.

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Many of the recent developments in this area have been driven by analyzing *concrete* examples.

This raises an important methodological issue: Implicit assumptions about what the actors know and believe about the situation being modeled often guide the analyst's intuitions. In many cases, it is crucial to make these underlying assumptions explicit.

The general point is that *how* the agent(s) come to know or believe that some proposition p is true is as important (or, perhaps, more important) than the fact that the agent(s) knows or believes that p is the case

Discussion

A key aspect of any formal model of a (social) interactive situation or situation of rational inquiry is the way it accounts for the

...information about how I learn some of the things I learn, about the sources of my information, or about what I believe about what I believe and don't believe. If the story we tell in an example makes certain information about any of these things relevant, then it needs to be included in a proper model of the story, if it is to play the right role in the evaluation of the abstract principles of the model. (Stalnaker, pg. 203)

R. Stalnaker. Iterated Belief Revision. Erkentnis 70, pgs. 189 209, 2009.